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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/774,368
Filing Date	February 10, 2004
First Named Inventor	David A. PENSAK
Art Unit	2127
Examiner Name	
Attorney Docket Number	13951.0002

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance communication to Technology Center (TC)        |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Request for Refund   | PTO-1449  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> CD, Number of CD(s) _____                                      | One Reference   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> Remarks  | Return Receipt Postcard   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Stuart T. F. Huang Steptoe & Johnson
Signature	<i>Stuart Huang</i> #34,184
Date	June 30, 2004

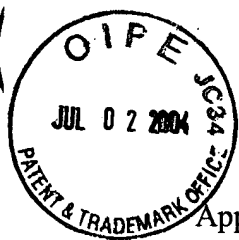
**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Katie S. Lee		
Signature	<i>Katie S. Lee</i>	Date	6/30/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): DAVID A. PENSAK ET AL

Group Art Unit.: 2127

Serial No.: 10/774,368

Examiner: N/A

Filed: February 10, 2004

Confirmation No.: 9526

Title: SYSTEM AND METHOD FOR  
REGULATING EXECUTION OF  
COMPUTER SOFTWARE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

The undersigned hereby submits the attached form PTO-1449, as well as copy of the document cited therein.

It is respectfully requested that the reference be expressly considered during the prosecution of this application and made of record herein and among the "References Cited" on any patent to issue herefrom. Consideration of the foregoing and enclosure, plus the return of the enclosed form PTO-1449 with the Examiner's initial in the left-hand column (per MPEP § 609), are earnestly solicited.

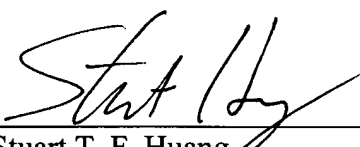
This Information Disclosure Statement is intended to be in full compliance with the Rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to the effect is earnestly solicited, along with additional time under Rule 97(f), to enable applicant to comply fully.

Should there be any questions, the Examiner is invited to contact the undersigned at the below-listed number.

This Information Disclosure Statement is submitted before the receipt of the first Office Action. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any necessary fees or credit any overpayment to Deposit Account No. 19-4293.

Respectfully submitted,

June 29, 2004

  
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Stuart T. F. Huang  
Reg. No. 34,184

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FORM 1449 (S&amp;J Version)

Docket No.: 13951.0002

Applicant: PENSAC et al.

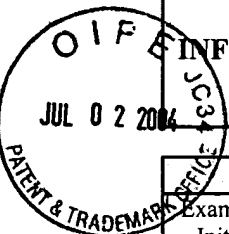
Application No.: 10/774,368

Filing Date: February 10, 2004

Examiner:

Group Art Unit: 2127

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT



## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

## OTHER DOCUMENTS

[illegible]

Examiner's Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.